

1 PRINthead-TO-PLATEN SPACING VARIATION ALONG SCAN AXIS DUE
2 TO CARRIAGE GUIDE, MEASURED BY SIMPLE SENSOR ON CARRIAGE
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5 RELATED PATENT DOCUMENTS
6

7 Closely related documents are other, coowned utility-
8 patents or applications, hereby wholly incorporated by
9 reference into this document. One is in the names of Mi-
10 quel Boleda et al., titled "CONTROLLING RESIDUAL FINE ER-
11 RORS OF DOT PLACEMENT IN AN INCREMENTAL PRINTER" — filed
12 in the United States Patent and Trademark Office as serial
13 09/253,494, and issued as U. S. Patent 6,____,____; others
14 include an application of Castaño et al., "A CORRECTION
15 SYSTEM FOR DROPLET PLACEMENT ERRORS DUE TO PRINthead TO
16 MEDIA SPACING VARIATION", U. S. serial 09/259,070, later
17 issued as U. S. Patent 6,____,____; and an application of
18 Soler et al., "COMPENSATING FOR DRIFT AND SENSOR PROXIMITY
19 IN A SCANNING SENSOR, IN COLOR CALIBRATING INCREMENTAL
20 PRINTERS", U. S. serial 09/____,____, later issued as U. S.
21 6,____,____; and another in the names of Thomas H. Baker
22 et al., serial 09/183,819, "COLOR-CALIBRATION SENSOR SYSTEM
23 FOR INCREMENTAL PRINTING" issued as U. S. 6,____,____; and
24 a patent of Sievert et al., "SYSTEMS AND METHOD FOR ESTAB-
25 LISHING POSITIONAL ACCURACY IN TWO DIMENSIONS BASED ON A
26 SENSOR SCAN IN ONE DIMENSION", U. S. 5,796,414. Still
27 another is in the names of Boleda et al., "A CORRECTION
28 SYSTEM FOR DROPLET PLACEMENT ERRORS IN THE SCAN AXIS, IN
29 . . . INKJET PRINTERS", European Publication 1029673.

30 Another patent document of interest, also wholly in-
31 corporated by reference, is U. S. 5,576,744 to Niikura et
32 al. (Canon), "RECORDING APPARATUS AND METHOD COMPENSATING
33 FOR VARYING GAP BETWEEN RECORDING HEAD AND RECORDING
34 MEDIUM".

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FIELD OF THE INVENTION

This invention relates generally to machines and procedures for incremental printing of images (which may include text), and more particularly to a scanning-print-head machine and method that construct such images from individual colorant spots created on a printing medium. The invention corrects small, systematic errors in colorant-spot placement that are important in regard to coordination of marks made by different printheads — e. g. in different colors. In some special cases these errors are also significant as to absolute positioning.

The problem solved by the invention, and also the invention itself, will be discussed primarily in terms of thermal-inkjet printing. A person skilled in the art, however, will appreciate that both are applicable to certain other types of incremental printers.

BACKGROUND OF THE INVENTION

(a) Misregistration scan-axis variation — As shown in the Boleda patent documents listed above, image-registration problems can arise from an imperfection in carriage guide mechanisms that cause registration to vary reproducibly along the printhead scan axis. Detecting and measuring these imperfections is the focus of the present document.

The Boleda documents taught that tiny nonlinearities in guide bars, followers, and other components caused minute printhead rotations — leading to errors in registra-

1 tion. Boleda also showed that these errors could be
2 detected by printing and analyzing a test pattern, and
3 compensated by selectively tuning the relative timing of
4 mark generation along different segments, respectively, of
5 the scan path.

6 Boleda's analysis employed an already-onboard line
7 sensor, provided on the printhead carriage for use in in-
8 terhead alignment. He commandeered that sensor into fur-
9 ther service to detect expansions and compressions of the
10 test pattern, varying along the printer scan axis — due
11 to the above-mentioned mechanism imperfections and result-
12 ing fine rotations.

13 Misregistrations of the sort under consideration —
14 i. e., due to PPS phenomena — can appear as between col-
15 ors, and also for the same color as between marks made
16 while scanning in opposite directions, and furthermore
17 even for the same direction and color as among marks made
18 while scanning at different speeds. Errors also can arise
19 as combinations of these effects.

20 Mechanical imperfections leading to such misregistra-
21 tions can in turn arise as imperfect straightness in a
22 guide rod itself, or imperfect planarity or cylindricity
23 of a platen or the like which establishes the nominal
24 printing-medium position, or imperfect parallelism between
25 the rod and the print-medium position. Typically a guide
26 mechanism itself has plural members, and imperfect geome-
27 tries between or among those can produce a twisted form of
28 error that is sometimes of one sense and at other times of
29 opposite sense. Imperfections also can arise as combina-
30 tions of all these effects.

31
32 The Niikura document, too, mentions mechanism prob-
33 lems leading to registration variations, but those varia-
34 tions run perpendicular to the scan axis. In his brief

1 discussion of scan-axis variations, Niikura is concerned
2 only with another misregistration source (printing-medium
3 curl) that is not of interest here. Niikura thus sugges-
4 ted no connection between his compensation for scan-axis
5 variations and any built-in hardware errors.

6 In addition, to the extent that Niikura investigated
7 any registration variations along the scanning axis, his
8 principal method of assessing such variations relied upon
9 very expensive acquisition of electronic images of pre-
10 printed hardcopy regions — using a charge-coupled detec-
11 tor ("CCD"), and then computation-intensive processing to
12 compare halftone dot sizes or spacings.

13 A typical CCD, as is well known, is an expensive mul-
14 tipixel device that yields an actual image of the preprin-
15 ted hardcopy region; and Niikura's acquired image is very
16 greatly enlarged to permit extremely fine analysis of mi-
17 nute image details. (Other Niikura teachings involving a
18 "laser sensor", for variation transverse to the scan axis,
19 are ambiguous as to both the character of the sensor and
20 methodology of its use; possibly it was interferometric.)

21
22 (b) Measurement methods and their drawbacks — Bole-
23 da — and also Niikura, in dealing with registration fluc-
24 tuations along the scan axis — depended upon analysis of
25 some information premarked on the printing medium. Boleda
26 used a simple and essentially free device already present
27 in the printer; Niikura used the above-mentioned CCD — a
28 relatively very costly device — and also elaborate, so-
29 phisticated postprocessing.

30 Each of these earlier systems has its respective def-
31 inite limitations. The Boleda approach requires preprint-
32 ing on the print medium something that would not otherwise
33 be printed — and this consumes medium, ink, and time.

34 Niikura's approach for scanwise error (due to cockle) min-

1 imizes this drawback by scanning a previously printed por-
2 tion of an in-progress hardcopy; but his analysis stage
3 requires expensive componentry and heavy computation.

4 What is desired is some way to measure departures
5 from uniform printhead-to-print-medium spacing without
6 printing, without special equipment, and without signifi-
7 cant signal processing. Heretofore no such way has ap-
8 peared in the art.

9
10 (c) Factory PPS determination — The Boleda patent
11 document first-mentioned above shows how rectilinearity of
12 a carriage guide bar can be evaluated through printing and
13 analysis of a test pattern. Entirely apart from the cost,
14 delay and inconvenience of generating the test pattern to
15 obtain these relative measurements all along the scan ax-
16 is, another severe prior-art limitation is the difficulty
17 of obtaining an absolute value of PPS at even any single
18 point in the path.

19 Such an absolute measurement, at least at some single
20 point, is an additional piece of data requisite to trust-
21 worthy PPS calibration. Heretofore such a measurement has
22 been possible only through positioning some special meas-
23 uring fixture in the printer, or a special jig next to the
24 printer, to perform an actual primary determination.

25 After this determination has been completed, further-
26 more, the jig or fixture must then be removed carefully to
27 ensure its continuing good condition for further accurate
28 measurements of other printers. These factory equipments
29 and operations add up to a significant and undesirable
30 manufacturing cost and complication.

31
32 (d) Machine printing formats: scanning-head and
33 pagewide-array, and equivalents — The documents mentioned
34 above deal with printers in which relatively small marking

heads ("printheads"), whose length is only a fraction of the height of the desired image, are mounted on scanning carriages that traverse the width of a desired image area. Marking is accomplished by operating the heads during such scanning, to form a swath of marks; then the printing medium is advanced in the orthogonal direction, to position the medium relative to the head for forming the subsequent swath.

Another type of system that suffers misregistration arising from PPS variation is a so-called "pagewide array" printer. In this type of machine, an array of marking elements (for each color respectively) extends across the entire image-area width; this array prints an entire line while the printing medium is advanced in the orthogonal direction — thereby forming an entire image in (most typically) a single pass of the medium through the printer.

The term "pagewide array" arises from the initial use of such systems to print on small-format sheets such as, for instance, A4 pages or $8\frac{1}{2} \times 11$ -inch pages. Equivalent operation is of interest in large-format printers, but these perhaps may not be properly denominated "pagewide" — since many of these large-format machines are loaded with rolls of paper rather than page-size sheets.

Naturally in such pagewide-equivalent units a sheet is eventually formed when a length of the roll is cut off after printing. The PPS-variation problem is a major concern in pagewide-array machines — and their large-format equivalents just discussed — as well as in scanning-head printers.

(e) Conclusion — Relatively cumbersome, expensive or slow strategies for measuring scanwise-varying misregistration due to mechanism imperfection have continued to impede achievement of uniformly excellent and rapid inkjet

1 printing. Thus important aspects of the technology used
2 in the field of the invention remain amenable to useful
3 refinement.

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7 SUMMARY OF THE DISCLOSURE

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9 The present invention introduces such refinement. In
10 its preferred embodiments, the present invention has sev-
11 eral aspects or facets that can be used independently, al-
12 though they are preferably employed together to optimize
13 their benefits.

14 In preferred embodiments of a first of its facets or
15 aspects, the invention is apparatus for printing images on
16 a printing medium, by construction from individual marks.
17 The apparatus includes a platen locating the medium.

18 In certain of the appended claims, the bodies of the
19 claims refer to the medium as "such medium". In the ac-
20 companying apparatus claims generally the term "such" is
21 used (instead of "said" or "the") in the bodies of the
22 claims, when reciting elements of the claimed invention,
23 for referring back to features which are introduced in
24 preamble as part of the context or environment of the
25 claimed invention. The purpose of this convention is to
26 aid in more distinctly and emphatically pointing out which
27 features are elements of the claimed invention, and which
28 are parts of its context — and thereby to more particu-
29 larly claim the invention.

30 The apparatus also includes at least one printhead
31 marking on the medium, and a carriage holding the head,
32 and also a rod supporting the carriage for scanning motion
33 across the medium. The apparatus also includes a sensor,
34 at least partially mounted to the carriage, measuring rel-

1 ative distances between the sensor and the platen or the
2 medium.

3 The sensor includes first processor portions for in-
4 terpreting intensity of reflected radiation, at each of
5 plural positions along the scanning motion respectively,
6 as a measure of respective transmission distances from the
7 source to the sensor. Those distances extend, between the
8 two, via reflection from the platen or the medium.

9 The apparatus also includes second microprocessor
10 portions for modifying the marking by the head. This mod-
11 ifying has the objective of compensating for variation of
12 the measured distances during the scanning motion.

13
14 The foregoing may represent a description or defini-
15 tion of the first aspect or facet of the invention in its
16 broadest or most general form. Even as couched in these
17 broad terms, however, it can be seen that this facet of
18 the invention importantly advances the art.

19 In particular, according to this facet of the inven-
20 tion the sensor simply responds to common intensity varia-
21 tions arising straightforwardly from the transmission dis-
22 tance — rather than requiring costly image recording,
23 dissection and analysis as in Niikura's scan-axis variant
24 (or even a "laser sensor" as in his printhead-axis sys-
25 tem). This much more elementary sensing mode can there-
26 fore be achieved with the same inexpensive line sensor
27 used before by Boleda, but with no need for his printing
28 of a test pattern.

29
30 Although the first major aspect of the invention thus
31 significantly advances the art, nevertheless to optimize
32 enjoyment of its benefits preferably the invention is
33 practiced in conjunction with certain additional features
34 or characteristics. In particular, preferably the sensor

1 further includes a radiation source emitting radiation
2 toward the medium or the platen, and a detector receiving
3 source radiation reflected from the medium or the platen.
4 In this case it is further preferred that the emitted ra-
5 diation be substantially incoherent, and that the sensor
6 be a single-channel device (i. e., not a multichannel unit
7 capable of imaging).

8 Another preference is that the sensor include some
9 means for measuring the relative distances without print-
10 ing on the medium. In another preference, the sensor
11 includes some means for measuring the relative distances
12 at multiple positions substantially along the length of
13 the rod. The nature of these means will be clear from the
14 detailed discussion that follows.

15 In yet another preference, the modifying means in-
16 clude memory, storing the respective transmission-distance
17 measures for the plural positions, and also third micro-
18 processor portions for retrieving the transmission-dis-
19 tance measures for the plural positions. These retrieved
20 distance values are to use in compensation, by the second
21 processor portions, for corresponding positions along the
22 rod respectively.

23 In still another basic preference, the second micro-
24 processor portions are any one (or more) of these:

25
26 microprocessor portions for modifying signals from an
27 encoder that reports position or speed, or both,
28 of the carriage along the rod, to compensate for
29 the distance variations;

30
31 microprocessor portions for controlling position or
32 speed, or both, of the carriage along the rod to
33 compensate for the distance variations;

1 This computation uses a known correlation function.
2 The method also includes the step of adjusting marking
3 positions of the printheads, based on the computed PPS
4 profile.

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6 The foregoing may represent a description or defini-
7 tion of the second aspect or facet of the invention in its
8 broadest or most general form. Even as couched in these
9 broad terms, however, it can be seen that this facet of
10 the invention importantly advances the art.

11 In particular, this facet of the invention explicitly
12 incorporates only a single-channel sensor, not a multipix-
13 el device such as the CCD used by Niikura to analyze PPS
14 variation along the scan axis. (Furthermore this aspect
15 of the invention expressly operates on incoherent light,
16 requiring no laser device such as suggested by Niikura for
17 measurement along the printhead axis.) Accordingly this
18 aspect of the invention is far more economical in optical
19 hardware — and also presents a vastly simpler data-proc-
20 essing effort after the optical hardware has done its job.

21
22 Although the second major aspect of the invention
23 thus significantly advances the art, nevertheless to opti-
24 mize enjoyment of its benefits preferably the invention is
25 practiced in conjunction with certain additional features
26 or characteristics. In particular, preferably the method
27 further includes the step of loading unprinted, i. e. bare
28 printing medium into the printer; and the surface-scanning
29 includes scanning the unprinted, bare medium.

30
31
32 In preferred embodiments of its third major independ-
33 ent facet or aspect, the invention is a method of cali-
34 brating a printer. The printer has printheads carried on

1 a scanning carriage next to a printing-medium position,
2 and has a carriage support-and-guide rod subject to imper-
3 fection in geometrical relationship with the printing-me-
4 dium position.

5 The method includes the step of projecting radiation
6 from the carriage toward the printing-medium position for
7 reflection back toward the carriage, at plural locations
8 of the carriage along the rod. It also includes the step
9 of measuring intensity variations of reflected radiation
10 received on the carriage at the plural locations.

11 Another included step is interpreting the intensity
12 variations as directly due to attenuation in travel of the
13 radiation through the distance from the carriage toward
14 the printing-medium position — and then back to the car-
15 riage. Yet another step is retaining the interpreted in-
16 tensity-variation information for use in compensating the
17 imperfection.

18 The foregoing may represent a description or defini-
19 tion of the third aspect or facet of the invention in its
20 broadest or most general form. Even as couched in these
21 broad terms, however, it can be seen that this facet of
22 the invention importantly advances the art.

23 In particular, this method facet of the invention is
24 closely related to the first, apparatus, facet. Accord-
25 ingly this form of the invention shares the benefits of
26 that first aspect.

27
28 Although the third major aspect of the invention thus
29 significantly advances the art, nevertheless to optimize
30 enjoyment of its benefits preferably the invention is
31 practiced in conjunction with certain additional features
32 or characteristics. In particular, preferably the pro-
33 jecting step includes projecting the radiation to a print-
34 ing medium disposed at the printing-medium position; and

1 the measuring step includes receiving the radiation re-
 2 flected from the printing medium — while the attenuation
 3 is due to scattering of the radiation in the reflection,
 4 and divergence of the radiation during the travel.

5 In case this preference is observed, then a further
 6 subpreference is that during the projecting and receiving,
 7 substantially nothing has been printed on the printing
 8 medium — so that the printing medium is substantially
 9 bare printing medium.

10 Another basic preference, as to the third major as-
 11 pect under discussion, is that the projecting step include
 12 projecting the radiation to a platen disposed substantial-
 13 ly at the printing-medium position; and the measuring step
 14 include receiving the radiation reflected from the platen.
 15 In this case it is further preferred that the interpreting
 16 step include making a distance allowance for thickness of
 17 printing medium absent from the platen.

18 Yet another basic preference, still as to the third
 19 major aspect, is that the interpreting step include refer-
 20 ring to a previously determined correlation function.
 21 More specifically, that is a relationship between intensi-
 22 ty-variation information and printhead-to-printing-medium
 23 spacing.

24

25

26 In preferred embodiments of its fourth major inde-
 27 pendent facet or aspect, the invention is a method of
 28 determining printhead-to-printing-medium spacing (PPS) in
 29 an incremental printer, using a plural-lamp sensor. This
 30 method includes the step of defining a design value for
 31 PPS in the printer.

32 It also includes the steps of calibrating the sensor,
 33 with each lamp of the plurality respectively, at the

1 design PPS value; and installing the calibrated sensor in
2 the printer.

3 Another step is operating the sensor, with each lamp
4 of the plurality respectively. This step is performed in
5 such a way as to develop a sensor output signal represent-
6 ing at least one difference between PPS measurements with
7 a corresponding pair of the lamps.

8 Yet another step is interpreting the at least one
9 difference signal as a PPS displacement from the design
10 PPS value. This step operates to determine actual PPS in
11 the printer.

12
13 The foregoing may represent a description or defini-
14 tion of the fourth aspect or facet of the invention in its
15 broadest or most general form. Even as couched in these
16 broad terms, however, it can be seen that this facet of
17 the invention importantly advances the art.

18 In particular, this aspect addresses the previously
19 discussed expense and awkwardness, or inaccuracy, of fac-
20 tory calibration. Use of this facet of the invention pro-
21 vides — quickly, easily, and automatically — an accurate
22 absolute PPS measurement, straightforwardly extended to
23 measurements all along the scan axis if desired.

24 There is no need for installing (and then removing)
25 any special measuring jig or fixture in the printer. This
26 facet of the invention accordingly solves a significant
27 earlier-mentioned problem in the art.

28
29 Although the fourth major aspect of the invention
30 thus significantly advances the art, nevertheless to
31 optimize enjoyment of its benefits preferably the inven-
32 tion is practiced in conjunction with certain additional
33 features or characteristics. In particular, preferably
34 the operating step includes using the sensor with the pair

1 of lamps in alternation to develop an a. c. signal output
2 representing the at least one difference.

3 Another basic preference is that the operating step
4 further include using the sensor with another pair of
5 lamps in alternation — to develop another a. c. signal
6 output representing another difference — and that the in-
7 terpreting step include computing a mean of the differen-
8 ces. It will be appreciated that this mean need not be a
9 simple arithmetic average; thus for instance advantageous-
10 ly the computing may include weighting the differences in
11 an inverse relation to signal noise associated with each
12 difference; or the computing may include finding the mean
13 as a root-mean-square of the weighted differences; or,
14 equivalently, more than two pairs of lamps may be operated
15 in like manner and their respective a. c. signals combined
16 in some comparably rapid and simple way to derive a more
17 reliable or precise overall value.

20 In preferred embodiments of its fifth major indepen-
21 dent facet or aspect, the invention is apparatus for
22 printing an image on a printing medium, by construction
23 from individual marks. The apparatus includes a platen
24 locating the medium, and also an array of printing ele-
25 ments marking on the medium; the array is of length at
26 least as great as the width of the image.

27 Also included is an advance mechanism providing rela-
28 tive motion of the medium and the array, substantially at
29 right angles to the array length. The apparatus further
30 includes a carriage scanning lengthwise along the array.

31 In addition the apparatus includes a sensor. The
32 sensor is at least partially mounted to the carriage, and
33 measures relative distances between the sensor and the
34 platen or medium.

1 The sensor includes first processor portions inter-
2 preting intensity of reflected radiation — at each of
3 plural positions along the scanning motion respectively —
4 as a measure of respective transmission distances. These
5 are distances from the source to the sensor via reflection
6 from the platen or medium.

7 Also included are second microprocessor portions that
8 modify the marking by the array. These portions modify
9 the marking to compensate for variation of the measured
10 distances along the array length.

11
12 The foregoing may represent a description or defini-
13 tion of the fifth aspect or facet of the invention in its
14 broadest or most general form. Even as couched in these
15 broad terms, however, it can be seen that this facet of
16 the invention importantly advances the art.

17 In particular, this aspect of the invention resolves
18 the PPS problem for pagewide-array devices — or their
19 equivalent in pageless large-format systems. Based on
20 this aspect of the invention, misregistration and other
21 manifestations of PPS variation are straightforwardly
22 brought under control.

23
24 Although the fifth major aspect of the invention thus
25 significantly advances the art, nevertheless to optimize
26 enjoyment of its benefits preferably the invention is
27 practiced in conjunction with certain additional features
28 or characteristics. In particular, preferably the car-
29 riage carries exclusively the sensor or portions thereof,
30 not the array.

1 All of the foregoing operational principles and
2 advantages of the present invention will be more fully
3 appreciated upon consideration of the following detailed
4 description, with reference to the appended drawings, of
5 which:

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8
9 BRIEF DESCRIPTION OF THE DRAWINGS

10
11 Fig. 1 is a elevational diagram, highly conceptual
12 and taken longitudinally along the scanning axis of a
13 printer system, showing how PPS and scanning motion inter-
14 act to affect mark placement;

15 Fig. 2 is a reproduction of machine-recorded traces
16 demonstrating responsiveness of sensor signals, in opera-
17 tion of the present invention, to PPS (in mm) — for an
18 exemplary machine whose guide bar has a bump at 65,000
19 encoder counts;

20 Fig. 3 is a graph of an experimentally determined
21 correlation function that interrelates sensor signal with
22 PPS;

23 Fig. 4 is an elevational diagram like Fig. 1, but
24 demonstrating how a primitive single-channel intensity
25 sensor can respond to PPS variation — through relative
26 attenuation of source illumination — even in the absence
27 of a printing medium;

28 Fig. 5 is a diagram like Fig. 4 but demonstrating the
29 same principle with a printing medium present;

30 Fig. 6 is a block diagram illustrating a printer with
31 PPS determination and compensation;

32 Fig. 7 is a graph of dual-source sensor responses as
33 used in the above-introduced fourth main aspect of the
34 invention;

Fig. 8 is a partial elevational diagram like Figs. 1, 4 and 5 but for a dual-source system such as used in Fig. 7 — and also showing, superposed on the diagram, excitation signals for the two sources as well as a differential return signal from the single detector;

Fig. 9 is an isometric view, very highly schematic and conceptual, of the invention incorporated into a pagewide-array or equivalent webwide-array printing system; and

Fig. 10 is a bottom plan of a four-color marking head that is part of the Fig. 9 system.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

1. RELATIONSHIPS BETWEEN P. P. S. AND MARK PLACEMENT

Preferred embodiments of the invention enable application of a compensation for varying printhead-to-print-medium distance along the scan axis, without printing any diagnostic pattern at all. The earlier-mentioned patent documents show how quality in an inkjet printout is affected by accuracy with which the printer controls the position where inkdrops land on the paper or other printing medium.

A brief review of this relationship is offered here. In current inkjet printers, a marker or "printhead" moves 17 (Fig. 1) forward along a scan axis at velocity v_1 while ejecting drops — nominally from a position x_0 — at velocity v_2 normal to the scanning motion. Since the ejection velocity is less than infinite and the distance D (or

1 PPS) to the surface 14' of the medium greater than zero,
2 however, the drops do not impinge upon the medium at the
3 same point \underline{x}_1 where they are ejected.

4 Instead the drops have forward momentum due to the
5 initial forward movement of the ink in the ejection cham-
6 ber, at the same forward velocity \underline{v}_1 as the marker. For
7 simplicity neglecting second-order effects, particularly
8 aerodynamic interaction of the drops with air along the
9 way to the print-medium surface 14', the drops assume a
10 resultant velocity \underline{v}_R along an angled path — forward and
11 downward toward the surface 14'.

12 The landing position of a single drop can be deduced
13 from the relation:

$$\underline{x} = \underline{x}_0 + \underline{D} \cdot \underline{v}_1/\underline{v}_2$$

17 and the offset $\Delta \underline{x}$ between the firing and landing positions
18 is

$$\Delta \underline{x} = \underline{x} - \underline{x}_0 = \underline{D} \cdot \underline{v}_1/\underline{v}_2.$$

22 For a given marker, with scan speed \underline{v}_1 and fixed ejection
23 velocity \underline{v}_2 , the landing position thus depends on the dis-
24 tance \underline{D} or PPS between the marker and the sheet — and al-
25 so on the ratio of velocities $\underline{v}_1/\underline{v}_2$, which may be termed
26 the "velocity offset ratio" (VOR).

27 An estimate of the small misregistration magnitude
28 $d(\Delta \underline{x})$ that arises in response to uncontrolled variation $d\underline{D}$
29 in the PPS is therefore:

$$d(\Delta \underline{x}) = d(\underline{x} - \underline{x}_0) = d\underline{D} \cdot \underline{v}_1/\underline{v}_2.$$

1 In determining misregistration magnitude the VOR thus be-
2 haves as a sort of scaling factor to the PPS variation $d\bar{D}$.
3 Under unfavorable operating conditions — rapid scanning
4 (high \underline{v}_1) and relatively sluggish ejection (low \underline{v}_2) — the
5 VOR is high and distinctly amplifies the PPS variation;
6 and conversely.

7 If aerodynamic and other second-order effects are ta-
8 ken into account, the calculated magnitude of the positio-
9 nal error is different. In general, however, the error
10 remains an increasing function of the PPS and the VOR.

11 The velocities \underline{v}_1 , \underline{v}_2 are both subject to control, as
12 are many other image-formation process parameters enumer-
13 ated in the earlier discussion of preferences for the
14 second major aspect of the invention. All of these con-
15 trollable variabilities can be pressed into service for
16 compensation of the relatively uncontrollable variability
17 of the PPS distance \underline{d} .

18 Typical scan velocities \underline{v}_1 are from roughly 0.4 to
19 1.3 m/sec (15 to 50 ips). This applies to the relative
20 velocities in so-called "pagewide" and equivalent devices
21 as well as to scanning-carriage systems.

22 Typical inkjet ejection velocities \underline{v}_2 are 10 to 15
23 m/sec (400 to 600 ips); hence $\underline{v}_1/\underline{v}_2$ ranges very roughly
24 from 1/40 to 1/8. The pen-to-print-medium spacing PPS
25 itself is typically $\underline{D} = 1.1$ to 1.6 mm; and the PPS varia-
26 tions under consideration here is $d\bar{D} = 0.3$ to 0.5 mm.

27 Placement error due to such variation $d\bar{D}$ is therefore
28 as high as $d(\Delta x) = d\bar{D} \cdot \underline{v}_1/\underline{v}_2 = 0.5 \text{ mm} \cdot 1/8 = 0.063 \text{ mm}$
29 in the worst case of high VOR (high scan speed divided by
30 low drop-ejection speed) — or $0.5 \text{ mm} \cdot 1/40 = 0.013 \text{ mm}$ in
31 the most-forgiving, low-VOR case. These values are dou-
32 bled for misregistration as between opposed-direction

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1 scans in bidirectional printing — to roughly 0.13 mm or
2 0.03 mm respectively.

3 The implication of these values in a 24 dot/mm (600
4 dpi) pixel grid is significant. Error in the worst speed-
5 ratio case is $0.063 \text{ mm} \cdot 24 \text{ dot/mm} = 1.6 \text{ pixel}$ for unidi-
6 rectional printing, $0.13 \text{ mm} \cdot 24 \text{ dot/mm} = 3 \text{ pixels}$ for bi-
7 directional printing.

8
9 PPS distance \underline{D} varies along the scan path because of
10 printhead 11 rotation due to imperfect straightness in the
11 guide rod 13, imperfect cylindricity or planarity of the
12 nominal printing-medium position, or imperfect parallelism
13 with that medium position — as well as twisting effects
14 mentioned earlier. (Incidentally to the present inven-
15 tion, it also varies on account of paper deformation as
16 noted by Niikura.)

17 Because of such variation in the distance \underline{d} , the
18 landing point \underline{x} and its offset $\Delta \underline{x}$ that can be deduced from
19 any single-point alignment procedure — such as the Boleda
20 patent document on alignment introduces — is in general
21 not accurate for the rest of the positions. To compensate
22 for this effect, heretofore another calibration is per-
23 formed following the procedure shown at left below.

24

| 25 | <u>related art</u> | <u>present invention</u> |
|----|----------------------------------|----------------------------------|
| 26 | 1 load paper | 1 load paper |
| 27 | 2 print test pattern | |
| 28 | 3 reposition paper | |
| 29 | 4 scan the pattern | 2 scan bare paper |
| 30 | 5 deduce \underline{d} profile | 3 deduce \underline{d} profile |

31

32 Once the profile is found, the procedure continues to
33 find the compensation as a function of pen position \underline{x} , and

6). This source is advantageously though not necessarily a simple lamp such as an LED, small incandescent bulb etc. that emits substantially incoherent electromagnetic radiation in the visible, infrared or other preferred wavelength range.

The term "incoherent" is meant to distinguish a "laser sensor" such as suggested by Niikura, to the extent that his terminology designated a sensor system actually probing coherent radiation in e. g. an interferometric mode. Other propagating energy forms may be substituted as desired.

Radiation 22 from the source 20 is directed to the printing-medium position 15, and some radiation 25 reflected from that position is intercepted at a single-channel detector 21. The phrase "single channel" is meant to distinguish multichannel detectors such as Niikura's CCD.

While the radiation 22 is emitted, returned and collected, the source 20 and detector 21 (usually together with printheads to be used in marking, after the calibration is complete) are shifted by a mechanism 12, 13 (Fig. 4) that slides 17 generally parallel to the print-medium position 15. In principle the nonprinting scan procedure can be performed even with no printing medium at the printing-medium position 15 (Fig. 4), subject to later adjustment 42 (Fig. 6) for thickness t (Fig. 5) of printing medium then employed.

3. OPTICAL ATTENUATION IN NONPRINTING MEASUREMENT

The source 20 and detector 21 are mounted with plural printheads 11 (Figs. 4 and 5) on a carriage 12, which in turn operates along guide bars — only one bar 13 being shown — that extend parallel to the print-medium posi-

tion. Truly rectilinear guide bars would conform to an undeviated locus 13'', but in practice the guide bars are subject to deviations 13' from such rectilinearity — thus necessitating relative calibration procedures such as those of the present invention.

As the heads 11, carriage 12, source 20 and detector 21 shift together along the bar 13, the detector 21 generates a signal 53 (Figs. 2 and 6), varying in a very generally continuous way, that is related to the likewise varying overall transmission distance $\underline{d}_1 + \underline{d}_2$ (Fig. 4) if no print medium is in position, or $\underline{d}_3 + \underline{d}_4$ (Fig. 5) if a medium is present.

Radiation 22 from the source 20 may be partially collimated or confined, but as a general rule is neither well collimated nor coherent but rather simply expands into a rough beam envelope 23 having rough boundaries, diverging at some coarsely defined angle θ_1 . This is the character of the beam in its downward path through the distance \underline{d}_1 .

If the print-medium position 15 is defined by a polished surface 14' — e. g. of a platen 14 — then reflection of the beam 22 may occur at that surface 14' and may be essentially specular. In this case the return beam 25 may have diverging properties generally similar to those of the initially projected beam 22, with a beam envelope 24 continuing to diverge at a roughly defined angle θ_2 that is close to the previously mentioned divergence angle θ_1 of the original beam 22.

If instead the platen surface 14' is only burnished or brushed, or is otherwise somewhat discontinuous or rough, then the reflection may be nonspecular — or may be specular but at multiple different facet angles, etc. In any such case the return beam 25 may have an envelope 26

1 that is much more roughly defined but in general diverges
2 into a broader return angle θ_3 .

3 This is the character of the beam in its return path
4 through a distance d_2 . Depending on the effective "sight"
5 or "field of view" angle θ_4 of the detector 21 — and also
6 depending on whether it has a lens or window with optical
7 power — greater or lesser fractions of this return beam
8 25 may reach the detector.

9 Whatever the intercepting sight angle θ_4 may be, how-
10 ever, generally speaking the receiving aperture of the
11 detector 21 cannot recover all the light 25, 24, 26 re-
12 flected from the platen 14 — and the fraction that can be
13 recovered falls with increasing distance d_2 . Hence the
14 signal generated in response by the detector likewise is a
15 decreasing function of the return distance d_2 .

16 This is the basis of the "attenuation" mentioned pre-
17 viously in the "SUMMARY OF THE DISCLOSURE" section of this
18 present document. With a suitable adjustment for thick-
19 ness t (Fig. 5) of a printing medium, the recovered frac-
20 tion of the optical signal 25, 24, 26 serves as a measure
21 of the PPS as it varies along the carriage path 17.

22 Because the attenuation mechanism is somewhat differ-
23 ent along the forward leg d_1 and return leg d_2 of the
24 transmission, the correlation 44 (Fig. 3) between signal
25 level and PPS in many cases may not be a simple linear
26 function in principle — and indeed some departures from a
27 linear relation do appear clearly in the data. It is,
28 however, reasonably orderly in practice and in any event
29 reproducible enough for a useful calibration.

30 In fact, whether or not actually adjusted for print-
31 medium thickness t , the return optical signal and result-
32 ing electronic signal from the detector 21 is a measure of
33 the PPS. One valuable characteristic of the signal gen-

1 erated as suggested in Fig. 4 is that it is indeed inde-
2 pendent of any printing medium that may later be used.

3 Hence such operation yields an accurate, reproducible
4 profile of PPS as influenced by, exclusively, the mecha-
5 nism 13, 13', 13". Thus this kind of operation can serve
6 very well in place of the test-pattern-based methods pre-
7 sented in the earlier patent documents of Boleda.

8
9 The present invention, however, is not limited to ob-
10 taining return signals by reflection from the platen 14.
11 Certain advantages accrue from operating the scan step
12 with printing medium 16 (Fig. 5) in position.

13 Here the return beam characteristics may vary great-
14 ly, depending on the thickness, translucency and mechani-
15 cal properties of the printing medium. For example if the
16 medium is very smooth, dense and highly reflective at its
17 surface, there may be relatively little beam penetration
18 into the bulk of the material 16. In this case the system
19 may operate very nearly as described above for Fig. 4 —
20 except that the reflecting surface is nearer to the source
21 20 and detector 21, and the transmission distances d_3 , d_4
22 (Fig. 5) accordingly foreshortened relative to the corre-
23 sponding distances d_1 , d_2 (Fig. 4).

24 With a printing medium that presents a matte finish
25 and is perhaps more porous, the beam 22 may penetrate the
26 interior of the material 16 and may there be subject to
27 many scattering reflections 31, 32 (Fig. 5) from particles
28 or molecules of the medium. Many rays are likely to un-
29 dergo multiple secondary reflections 33 before finally be-
30 ing reflected out of the medium at a considerable distance
31 from their entry points (if they are not entirely dissipa-
32 ted within the material).

33 As a result of many such events, the response from a
34 highly scattering print medium may be more in the nature

1 of a relatively diffuse glow 34 than a well-defined beam.
2 The fraction of illumination returned in this way that can
3 be subtended by the aperture of the detector 21 and thus
4 captured as a reflected beam 29' is strongly subject to
5 attenuation with distance. Probably the correlation be-
6 tween PPS and intensity is higher in such a case than for
7 the more nearly specular-reflecting materials (e. g. plat-
8 en 14) discussed above.

9
10 Whether obtained with or without printing medium in
11 place (Figs. 5 and 4 respectively), the resulting data 53
12 can be used to measure PPS or mechanism error, or both.
13 Only simple processing 41 is needed to develop an inter-
14 pretation of the signal in terms of PPS, and where appro-
15 priate as explained above a correction for print-medium
16 thickness 42 is readily made. Current data can be entered
17 in a memory 43, and earlier correlations 44 can be drawn
18 into the same memory device if desired.

19 When an image is to be printed, the printer receives
20 input image data 36 as usual and performs conventional
21 preliminary corrections 37 and printmasking 38 as is well
22 known. The printmasked data then proceed to a stage 47
23 that retrieves 45 the massaged PPS data from the memory 43
24 and adjusts relative timing to compensate for the PPS
25 variation.

26 This adjustment may be accomplished by perturbation
27 47 of the printing system at any one or more of several
28 different earlier-mentioned points 47A-F. The compensa-
29 tion stage 47 then passes the adjusted data on to the fi-
30 nal printing apparatus, especially the printheads 11, for
31 marking of the hardcopy image 48 onto the print medium 16.

32
33 It may be a question of semantics exactly what con-
34 stitutes a "sensor" in a system such as shown in Figs. 4

through 6. A sensor generally is taken as including a source 20 and a detector 21, with conventional power supplies and preamp (not shown), but raw data 53 from the detector 21 or even from an associated preamplifier may or may not be considered PPS information.

Hence a PPS sensor may be regarded as more complete if some additional blocks of those 41-45 in the system are also included. This discussion bears on whether the entire sensor, or only just portions of the sensor, are mounted on a scanning carriage.

In other words, the question is whether the sensor is fully mounted to the carriage or only partially mounted to the carriage. Certain of the appended claims are worded to encompass either approach to this question of definitions, by reciting that the sensor is "at least partially" mounted to the carriage.

Thus the sensor may be defined either as the source and detector, or those plus a preamp — or instead all of those plus the interpretive block 41, with or without the thickness adjustment stage 42, etc. For purposes of determining whether the appended claims read on some particular apparatus, it is intended that the claims do read on the apparatus if any of these definitions is satisfied. Additional variants generally within the claim entail a sensor that is sometimes parked but coupled to a scanning mechanism for use in sensing — analogous to the colorimeter taught in the above-mentioned Baker document.

4. ABSOLUTE P. P. S. WITH A PLURAL-SOURCE SENSOR

For absolute PPS measurements a detector can be provided with two or more sources, each perhaps inclined at a different angle to the print medium or other reflecting

1 surface. Naturally such a system, like any other, is sub-
2 ject to measurement imprecision — but the measurements
3 are "absolute" in the sense that they can be linked to an
4 absolute value rather than only to a relative scale.

5 To facilitate obtaining such an output value in abso-
6 lute terms, each partial-detector, in other words the de-
7 tector operated with each of the plural sources considered
8 one at a time, can be independently calibrated at a PPS
9 design point of the printer. This phrase "PPS design
10 point" here means the PPS setting for which the printer
11 was designed, and at which its operation is nominal (and
12 typically best).

13 After such independent calibration, the difference in
14 signal levels obtained in operating the sensor with the
15 different sources separately is a measure of the PPS
16 distance from the design point. Theoretically absolute
17 measurements could also be achieved by calibrating the
18 design point of only one source — but using two or more,
19 and measuring differences between the signals, should be a
20 more robust method.

21 The sources may be two LEDs 20', 20" (Fig. 8), re-
22 spectively emitting beams 22', 22" — optionally at dif-
23 ferent angles to the printing medium (not shown in Fig.
24 8). They are mounted as before on a common carriage 12
25 with the printheads (not shown in Fig. 8).

26 Part of the reason for the improvement is that a sin-
27 gle-source approach may require relatively fine measure-
28 ments of a relatively small signal variation on a sizable
29 signal pedestal. When two or more signals are available,
30 they can be differenced against one another electrically
31 — as for example by synchronous detection, or more simply
32 by sequencing the operation of the sources themselves and
33 forming an a. c. composite.

1 bridge extending across the platen 114' between opposed
2 print-medium guideways 131, 132.

3 Thus the provision of a scanning sensor 120/121 for
4 checking PPS distance along the length of the printhead
5 111 must occur in the absence of several practical advan-
6 tages found in a scanning printer. Those advantages in-
7 clude the preexisting carriage, with complete drive system
8 and encoder, and even a preexisting line sensor provided
9 on the carriage for other types of measurements.

10 In accordance with the invention nevertheless such a
11 scanning sensor 120/121 can be added. It may be propelled
12 in any of a great variety of ways, as for instance by a
13 toothed endless belt 133 that is secured to the sensor and
14 looped about a drive pulley 134 and idler 135. The drive
15 pulley in turn may be operated by a stepping motor (or a
16 motor and separate encoder) 136.

17 Formed in the underside of the head 111 are, typical-
18 ly, four or more rows 141-144 (Fig. 10) of ink-ejecting
19 orifices, usually one row for each separate colorant to be
20 provided in the machine. These colorants may be cyan, ma-
21 genta, yellow and black inks — or as appropriate only the
22 three chromatics, or all four plus light cyan and light
23 magenta, etc.

24 Associated with the ejecting orifices are supply
25 channels, electrical heaters, and conductors for control-
26 ling electrical firing pulses to the heaters. The heaters
27 are controlled by microprocessors (not shown) to effectu-
28 ate printing — including the needed timing compensations,
29 as defined by the present invention, for PPS variation.

30 Although the sensor and its position-determining sub-
31 system represents an added expense, at least the position
32 determination can be far less precise than that employed
33 in a typical scanning-printhead system. The PPS variation
34 ordinarily is caused by relatively macroscopic phenomena

1 and is accordingly much more coarse than the pixel-grid
2 dimensions involved in printhead operation.

3 On the other hand, what must be maintained to a high
4 degree of precision is alignment (or a known correction
5 for known misalignment) between the sensor and the nozzles
6 141-144, in the direction of ink ejection. Ideally the
7 ejecting face of the head 111 either is identically the
8 guide track for the sensor 120/121, or is very closely in-
9 terrelated with that track through intrinsic properties of
10 the mechanical design.

11 PPS compensation in a system such as shown in Fig. 9
12 proceeds according to very generally the same protocol as
13 in a scanning-head system. Perhaps the most important
14 single difference is that the relative velocity which gen-
15 erates misregistration, when there is variation of PPS, is
16 the lengthwise velocity of the printing medium 16 (or of
17 the head 111 above it, in a stationary-medium flatbed
18 system) — rather than the transverse velocity of a scan-
19 ning head. Thus in the Fig. 9 system it is the lengthwise
20 velocity of the medium 16 which comes into the calculation
21 of the exact amount of firing advance or delay needed.

22 Toothed wheels 137 (typically cooperating with roll-
23 ers, not shown, below the printing medium 16) drive the
24 medium 16 in a longitudinal direction 140. The wheels are
25 driven on a common axle 138 by a separate stepping motor
26 139. (Alternatively the system may drive only the roll-
27 ers, or both the wheels and rollers.)

28 In a true pagewide-array system, as explained ear-
29 lier, the medium itself is ordinarily in the form of a
30 precut sheet or page 16 as indicated in the solid line in
31 Fig. 9. An equivalent operation, with respect to the PPS-
32 monitoring capabilities of the present invention, entails
33 instead feeding the printing medium as a continuous web
34 116 from a roll 117 — as shown in the dashed line.

1 The printhead may thus be denominated either a "page-
2 wide" or "webwide" array, respectively. In either case
3 the motors 136, 139 — like the nozzles 141-144 — are
4 actuated by processors (not shown) that operate preestab-
5 lished programs for coordination of the printing and all
6 other activities of the printer.

7
8
9
10 The above disclosure is intended as merely exemplary,
11 and not to limit the scope of the invention — which is to
12 be determined by reference to the appended claims.